PTO/SB/21 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE he Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

18 w/ 85

Refs.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/646,070
Filing Date	August 22, 2003
First Named Inventor	Michael Wayne GRAHAM
Art Unit	1632
Examiner Name	Not Yet Assigned
Attorney Docket Number	546322000303

EN	ENCLOSURES (Check all that apply)						
Fee Transmittal Form	Drawing(s)	After Allowance Communication to Group					
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences					
Amendment/Reply	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final	Petition to Convert to a Provisional Application	Proprietary Information					
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter					
Extension of Time Request	Terminal Disclaimer	X Other Enclosure(s) (please identify below):					
Express Abandonment Request	Request for Refund	1 One copy of 85 Cited References					
x Information Disclosure Statement w/ Form PTO-1449 (17 pages)	CD, Number of CD(s)	2. Return Receipt Postcard					
Certified Copy of Priority Document(s)							
Response to Missing Parts/ Incomplete Application	Remarks						
Response to Missing Parts							
under 37 CFR 1.52 or 1.53							
SIGNAT	URE OF APPLICANT, ATTORNEY, OF	RAGENT					
or Individual name Michael R. Ward - 3	MORRISON & FOERSTER LLP (Customer No. 20872) Michael R. Ward - 38,651						
Signature Wichael	nature Michael R Word						
Signature Michael R Word Date July 26, 2004							

	sited with the U.S. Postal Service with sufficient postage as First Class Mail, in mmissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date
shown below.	
shown below. Dated: 7/27/04	Signature: (Leah Kjellén)
Dateu.	Olgitalitie. (Lean Igenion)





CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450 on July 27, 2004

Leah M. Kjellén

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Michael Wayne GRAHAM et al.

Serial No.:

10/646,070

Filing Date:

August 22, 2003

For:

CONTROL OF GENE EXPRESSION

Examiner: Not Yet Assigned

Group Art Unit: 1632

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents marked with * were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/646,807, filed December 5, 2000, and, accordingly, copies are not included herewith. This protocol conforms

with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

	This In	formation Disclosure Statement is submitted:
	With	the application; accordingly, no fee or separate requirements are required.
	Befor	re the mailing of a first Office Action after the filing of a Request for Continued
	Exam	nination under § 1.114. However, if applicable, a certification under 37 C.F.R. §
	1.97(e)(1) has been provided.
\boxtimes	With	in three months of the application filing date or before mailing of a first Office
	Actio	on on the merits; accordingly, no fee or separate requirements are required.
	How	ever, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been
	provi	ided.
	After	receipt of a first Office Action on the merits but before mailing of a final Office
	Actio	n or Notice of Allowance.
		A fee is required. A check in the amount of is enclosed.
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached
		to this submission in duplicate.
		A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee
		is believed to be due.
	After	mailing of a final Office Action or Notice of Allowance, but before payment of the
	issue	fee.
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the
		amount of is enclosed.
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal
		form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

Application Serial No. 10/646,070

Docket No. 546322000303

3

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 546322000303. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: July 26, 2004

Respectfully submitted,

Registration No. 38,651

Morrison & Foerster LLP 425 Market Street

San Francisco, California 94105-2482

Telephone: (415) 268-6237 Facsimile: (415) 268-7522

JUL 3 0 2004 E

RMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 546322000303		Application Number 10/646,070	_
Applicants			_
	Michael Wayr	ne GRAHAM et al.	
Filing Date August 22, 2003		Group Art Unit 1632	
Mailing Date	July 27, 2004		

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	8/25/1998	* 5,798,265	Springer et al.			
	2.	7/4/2002	* 2002/0086356 A1	Tuschl et al.			
	3.	8/22/2002	* 2002/0114784 A1	Li et al.			
	4.	2/6/2003	* 2003/0027783 A1	Zernicka-Goetz			
	5.	4/29/1997	*5,624,803	Noonberg et al.			
	6.	4/25/2000	*6,054,299	Conrad			
	7.	7/23/2002	*6,423,885	Waterhouse et al.			
	8.	6/3/2003	*6,573,099	Graham			·
	9.	9/29/1998	*5,814,500	Dietz			
	10.	1/14/2003	*6,506,559	Fire et al.			
	11.	2/1/94	*5,283,184	Jorgensen et al.			
	12.	7/27/93	*5,231,020	Jorgensen et al.			
	13.	7/23/91	*5,034,323	Jorgensen et al.			
	14.	12/10/96	*5,583,021	Dougherty, et al.			
	15.	11/11/97	*5,686,649	Chua, et al.			
	16.	2/3/98	*5,714,323	Oshima, et al.			
	17.	1/23/03	*2003/0018993 A1	Gutterson et al.			
	18.	2/20/03	*2003/0036197 A1	Glassman et al.			
	19.	3/20/03	*2003/0056235 A1	Fire et al.			
	20.	4/17/03	*2003/0074684 A1	Graham et al			
	21.	09/04/03	2003/0165894 A1	Waterhouse et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Transl YES	ation NO
	22.	6/9/99	* EP 0 921 195 A1	EP				
	23.	8/7/02	* EP 1 229 134 A1	EP				

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Sheet 2 of 14 Form PTO-1449 Docket Number 546322000303 Application Number 10/646,070 **Applicants** INFORMATION DISCLOSURE CITATION Michael Wayne GRAHAM et al. IN AN APPLICATION Filing Date August 22, 2003 (Use several sheets if necessary) Group Art Unit 1632 Mailing Date July 27, 2004 24. 1/13/00 * WO 00/01846 **WIPO** 25. 10/26/00 * WO 00/63364 WIPO 26. 4/26/01 * WO 01/29058 **WIPO** 27. 5/25/01 * WO 01/36646 **WIPO** 28. 1/18/01 * WO 01/04313 **WIPO** 29. 7/5/01 * WO 01/48183 **WIPO** 30. 11/22/01 * WO 01/88114 **WIPO** 31. 6/6/02 * WO 02/44321 **WIPO** 32. 1/23/03 * WO 03/006477 **WIPO** 33. 5/7/98 *WO 98/18811 **WIPO** 34. 10/21/99 *WO 99/53050 **WIPO** 35. 9/27/01 *WO 01/70949 **WIPO** 26. 4/3/03 *WO 03/27298 **WIPO** 37. 7/1/99 *WO 99/32619 **WIPO** 38. 4/20/95 *WO 95/10607 **WIPO** 39. 10/8/98 *WO 98/44138 **WIPO** 40. *WO 96/08558 **WIPO** 3/21/96 9/15/93 *EP 0560156A2 **EPO** 41. 42. 5/27/99 *WO 99/25853 **WIPO** 43. *EP 0242016 **EPO** 10/21/97 44. 8/20/98 **WIPO** *WO 98/36083 45. 4/1/99 *WO 99/15682 **WIPO** 46. *WO 97/01952 WIPO 1/23/97 47. 11/25/93 *WO 93/23551 **WIPO** 48. 8/4/94 *WO 94/17194 **WIPO** 49. 9/2/93 *WO 93/17098 **WIPO** 50. 11/26/98 *WO 98/53083 **WIPO WIPO** 51. 10/18/90 *WO 90/11682 52. 8/27/98 *WO 98/37213 **WIPO**

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

WIPO

Australia

53.

54.

9/30/99

02/01/01

WO 99/49029

AU 729454

Form PTO-1449			Docket Number 546322000303		Application Number 10/646,070				
INFO	INFORMATION DISCLOSURE CITATION IN AN APPLICATION			Applicants	Michael Wa	yne GRAHAM et al.			
	(Use several sheets	f necessary)		Filing Date Augus	st 22, 2003	Group Art Uni	1632	
					Mailing Date	July 27, 2004			
	55.	11/12/92	WO 92/19732	w	IPO			T	T
	56.	01/20/94	WO 94/01550		IPO				
	57.	12/02/99	WO 99/61631		IPO				
	58.	08/03/00	WO 00/44895		IPO				
	59.	08/03/00	WO 00/44914		IPO				1
	60	06/14/95	EP 0465572	EF					
	61.	08/31/95	WO 95/23225		IPO				
Examiner	Ref.	Title	ОТН	HER I	OCUMENTS	(including auth	or, title, Date, P	ertinent Pa	ges, Etc.)
Initials	No.	Title							
	62.	in mouse en	· · · · · -	ma cel	erference with gene expression induced by long, double-stranded RNA na cell lines" Proceedings of the National Academy of Sciences of the 428-33.				
	63.		amp, R. et al. (2002) "A Cells" Science Vol. 29	2) "A System for Stable Expression of Short Interfering RNAs in ol. 296: 550-553.					
· · · · · ·	64.		n, D. et al. (2003) "Killin Dlecular Cell Biology Vo			hort RNAs that	Silence Gene E	xpression.	' Nature
	65.		S.M. et al. (2001) "Dup cells" Nature 411(6836			e RNAs mediate	e RNA interfere	ence in cult	ured
	66.	(Trans) gen	Iarjori A. and A. J. M. es'' Plant Physiol. 107:	679-6	85.				
	67.		P. et al. (2000) "Selectiv " Development 127(19)			ant maternal m	RNAs in mouse	e oocytes by	y RNA
	68.		al. "A factor IX-deficien			hemophilia B ge	ne therapy" PN	IAS 94: 11:	563-
	69.	1	t al. (2001) ''Specific do Molecular and Cellular				undifferentiate	d mouse en	nbryonic
	70.		nal Search Report maile rch 19, 1999, 3 pages.	ed on	May 10, 1999,	for PCT patent	application no.	PCT/AU9	9/00195,
	71.	•	ames A. (2000) "Makin inion in Genetics & De	_		-	repeated genes	in animals	
	72.				Inverted repeat of a heterologous 3'-untranslated region for high- encing" The Plant Journal 33: 793-800.				
EXAMI	NER:				DATEC	CONSIDERED:			
			nsidered, whether or not the include a copy of this form				a line through the	citation if n	ot in

Form PTO-1449		Docket Number 546322000303	Application Number 10/646,070		
	TION DISCLOSURE CITATION N AN APPLICATION	Applicants Michael Wayne	GRAHAM et al.		
	Use several sheets if necessary)	Filing Date August 22, 2003	Group Art Unit 1632		
		Mailing Date July 27, 2004			
73.	*Cogoni, Carlo and Giuseppe Macino (2 Current Opinion in Genetics & Develop	o (2000) "Post-transcriptional gene silencing across kingdoms" elopment 10: 638-643.			
74.	*Marathe, Rajendra et al. (2000) "RNA transcriptional gene silencing" Plant Mo	·	and targets of post-		
75.	*Matzke, Marjori and Antonius J.M. M	atzke (2003) "RNAi Extends Its	Reach" Science: 1060-1061.		
76.	*Oates, Andrew C. et al. (2000) "Too M Nonspecific Effects in the Zebrafish Em				
77.	*Putlitz, Jasper zu and Jack R. Wands (Sense RNA'' Antisense & Nucleic Acid l		ntitis B Virus Replication by		
78.	*Schramke, Vera and Robin Allshire (2 Chromatin-Based Gene Silencing'' Scien		ansposon LTRs Effect RNAi and		
79.	*Tavernarakis, Nektarios et al. (2000) '' RNA encoded by transgenes'' Nature G		interference by double-stranded		
80.	*Ui-Tei, Kumiko et al. (2000) "Sensitive assay of RNA interference in <i>Drosophila</i> and Chinese hams cultured cells firefly luciferase gene as target" Federation of European Biochemical Societies Letters 79-82.				
81.		-	nded RNA Induces Specific Developmental Defects in ysical Research Communications 263: 156-161.		
82.	*Fire, A., Xu, S.Q., Montgomery, M.K. Kostas, S.A. Driver, S.E. and Mello, C.C. (1998), "Potent and Specific Genetic Interference by Double-Standard RNA in Caenorhabditis elegans". Nature, 391 (6669 806-811.				
83.	*Garrick, D., Fiering, S., Martin, D.I. and Mammals", Nature Genetics 18(1): 56-5		nduced Gene Silencing in		
84.	*Dorer, D.R. and Henikoff, S. (1997) Tr and Cause Silencing in cis and trans". G		with Distant Heterochromatin		
85.	*Pal-Bhadra, M., Bhadra U. and Birchle Alcohol Dehydrogenase by White-Adh	er, J.A. (1997) "Cosuppression in			
86.		on Comes to the Animals". Cell 90(3): 385-387.			
87.	*Cameron, F.H. and Jennings, P.A. (199) Nucleic Acids Research 19(3): 469-475.	991) "Inhibition of Gene Expression by a Short Sense Fragment".			
88.		Unit Antisense RNA Cassette Test System for Silencing of Target			
89.		on of Normal Behavior to Shiverer by Myelin Basic Protein			
90.	*Kook, Y.H., et al. (1994), "The Effect of Squamous Cell Carcinoma on Malignan	f Antisense Inhibition of Urokina	<u>-</u>		
91. *Lee, R.C., et al. (1993), The C. elegans Complementarity to lin-14". Cell 75: 84		Heterochronic Gene lin-4 Encode			
					
EXAMINER:		DATE CONSIDERED:			
	itial if citation considered, whether or not the citati not considered. Include a copy of this form with r		line through the citation if not in		

Form PTO-1449	9	Docket Number 546322000303	Application Number 10/646,070		
	TION DISCLOSURE CITATION N AN APPLICATION	Applicants Michael Wayne GRAHAM et al.			
	(Use several sheets if necessary)	Filing Date August 22, 2003	Group Art Unit 1632		
		Mailing Date July 27, 2004			
92.	*Moroni, M.C., et al. (1992) EGF-R An Receptor and Suppresses the Transform Biological Chemistry 267(4): 2714-2722	ning Phenotype of a Human Caro			
93.	*Nellen, W. and Lichtenstein C. (1993), Biochemical Sciences 18(11): 419-423.		AntiSensitive?" Trends in		
94.	*Anderson, W.F. (1998), "Human Gene	Therapy", Nature 392 (suppl.):	25-30.		
95.	*Kappel, C.A., et al. (1992), "Regulatin Biotechnology 3(5): 548-553.	g Gene Expression in Transgenic	Animals", Current Opinion in		
96.	*Touchette, N. (1996), "Gene Therapy	Not Ready for Prime Time (New	vs), Nature Medicine 2(1): 7-8		
97.	*Verma, I.M., et al. (1997), "Gene Ther 242.	apy - Promises, Problems and Pr	ospects", Nature 389 (6648): 239-		
98.	*Viville, S. (1997), "Mouse Genetic Manipulation Via Homologous Recombination" In 'Transgenic animals. Generation and Use'. Houdebine, L.M., ed. Harwood Academic Publishers, France 307-3				
99.	*Wall, R.J. (1996) "Transgenic Livestock: Progress and Prospects for the Future", Theiogenology 45 57-68.				
100.	*Angell, S.M., et al. (1997), "Consistent Gene Silencing in Trangenic Plants Expressing a Replicating Potato Virus X RNA", The EMBO Journal 16 (12): 3675-3684.				
101	*Assaad, F.F., et al. (1993), "Epigenetic Repeat-Induced Gene Silencing (RIGS) in Arabidopsis. Plant Molecular Biology 22(6): 1067-1085				
102		*Balandin, T., et al. (1997), "Silencing of a (3-1-3-glucanase Transgene is Overcome During Seed			
103.	*Baulcombe, D.C. (1996) RNA as a Tar Transgenic Plants". Plant Molecular B	get and an Initiator of Post-Tran	scriptional Gene Silencing in		
104.	*Cogoni, C., et al. (1994), "Suppression Leeuwenhoek 65(3): 205-209		ous Transgenes", Antonie Van		
105.	*Cogoni, C., et al. (1996), "Transgene Silencing of the al-1 Gene in Vegetative Cells of Neurospora is Mediated by a Cytoplasmic Effector and Does not Depend on DNA-DNA Interactions or DNA Methylation", The EMBO Journal 15(12): 3153-3163.				
106.	*Cogoni, C., et al. (1997), "Isolations of Transgene-Induced Gene Silencing in N Sciences of the United States of Americ	Neurospora Crassa". Proceeding			
107.	*Courtney-Gutterson, et al. (1994), "M Production of White-flowering Variety	odification of Flower Color in Flo			
108.	*de Carvalho F., et al. (1992), "Suppression of p-1,3-glucanase Transgene Expression in Homozygous Plants", The EMBO Journal 11(7): 2595-2602.				
109.	*de Carvalho Niebel, F. et al. (1995), "Post-transscriptional Cosuppression of 0-1,3-glucanase Genes Does Not Effect Acculmulation of Transgene Nuclear mRNA", The Plant Cell 7(3): 347-358				
110.	*De Lange, P., et al. (1995), "Suppression of Flavonoid Flower Pigmentation Genes in Petunia Hybrida by the Introduction of Antisense and Sense Genes", Current Topics in Microbiology and Immunology 197: 57-75				
	-				
EXAMINER:		DATE CONSIDERED:			
	nitial if citation considered, whether or not the citated not considered. Include a copy of this form with		a line through the citation if not in		

Form PTO-1449)	Docket Number 546322000303	Application Number 10/646,070			
	TION DISCLOSURE CITATION N AN APPLICATION	Applicants Michael Wayne GRAHAM et al.				
	Use several sheets if necessary)	Filing Date August 22, 2003	Group Art Unit 1632			
		Mailing Date July 27, 2004				
111.	*Depicker, A., et al. (1997), "Post-transe Biology 9(3):373-382					
112.	*English, J.J., et al. (1996), "Suppressio Silencing of Nuclear Genes", The Plant		sgenic Plants Exhibiting			
113.	*Hamilton, A.J., et al. (1998), "A Trans PostTranscriptional Suppression of AC 737-746	-	• •			
114.	*Jorgensen, R. (1990), "Altered Gene E Homologous Genes", Trends in Biotech		Interactions Between			
115.	*Jorgensen, R.A., et al. (1996), "Chalcon Comparison of Sense vs. Antisense Com Molecular Biology 31(5): 957-973	ne Synthase Cosuppression Phen	T -			
116.						
117.	*Kunz, C., et al. (1996), "Developmentally Regulated Silencing and Reactivation of Tobacco Chitina Transgene Expression", The Plant Journal 10(3): 437-450					
118.	*Lee, K.Y., et al., (1997), "Post-transcriptional Gene Silencing of ACC Synthase in Tomato Results from Cytoplasmic RNA Degradation", The Plant Journal 12(5): 1127-1137					
119.	*Lindbo, J.A., et al., (1993), "Induction	*Lindbo, J.A., et al., (1993), "Induction of a Highly Specific Antiviral State in transgenic Plants - Implications for Regulatio of Gene Expression and Virus Resistance", The Plant Cell 5(12): 1749-1759				
120.	*Matzke, M.A., et al. (1998), "Epigeneti	*Matzke, M.A., et al. (1998), "Epigenetic Silencing of Plant Transgenes as a Consequence of Diverse Cellular Defence Responses", Cellular and Molecular Life Sciences 54(1): 94-103				
121.	*Mueller, E., et al. (1995), "Homology-d Related to Homology-Dependent Gene	ependent Resistance -Transgenio	Virus Resistance in Plants			
122.	*Meyer, P. (1996), "Repeat-induced Ger Biological Chemistry Hoaoe-Seyler 3776	ne Silencing-Common Mechanist				
123.	*Napoli, C., et al. (1990), "Introduction	of a Chimeric Chalcone Synthas				
124.	Reversible So-Suppression of Homologous Genes in trans, The Plant Cell 2(4): 279-289 *Palauqui, J.C., et al. (1997), "Systemic Acquired Silencing: Transgene-specific Posttransscriptional Silencing is Transmitted by Grafting from Silenced Stocks to Non-silenced scions, The EMBO Journal 16: 4738-4745					
125.	*Pang, S.Z., et al. (1997), "Nontarget DNA Sequences Reduce the Transgene Length Necessary for RNA-mediated Tospovirus Resistance in Transgenic Plants", Proceeding's of the National Academy of Science of the United States of America 94(15): 8261-8266					
126.	*Park, Y.D., et al. (1996), "Gene Silencing Mediated by Promotor Homology Occurs at the Level of Transcription and Results in Meiotically Heritable Alterations in Methylation and Gene Activity", The Plant Journal 9(2): 183-194					
127.	*Que, Q., et al. (1998), "Homology-based Control of Gene Expression Patterns in Transgenic Petunia Flowers", Developmental Genetics 22(1): 100-109					
128.						
EXAMINER:		DATE CONSIDERED:				
	itial if citation considered, whether or not the citati not considered. Include a copy of this form with r		line through the citation if not in			

Form PTO-1449		Docket Number 546322000303	Application Number 10/646,070	
	TION DISCLOSURE CITATION N AN APPLICATION	Applicants Michael Wayne GRAHAM et al.		
(Use several sheets if necessary)	Filing Date August 22, 2003	Group Art Unit 1632	
		Mailing Date July 27, 2004		
		<u></u>		
129.	*Sadiq, M., et al. (1994), "Developmenta Dictyostelium", Antisense Research & I	Development 4(4): 263-267	<u> </u>	
130.	*Sijen, T., et al. (1996), "RNA-mediated of Targeted Regions", The Plant Cell 8(1)		ted Transgenes and Delineation	
131.	*Singer, M.J., et al. (1995), "Genetic and Crassa: RIP, DNA Methylation, and Qu 165-177			
132.	*Smyth, D.R. (1997), "Gene Silencing: (Cosuppression at a Distance", Cur	rrent Biology 7(12): R793-795	
133.	*Stam, M., et al. (1997), "The Silence of	Genes in Transgenic Plants", An	nals of Botany 79(1): 3-12	
134.	*Tanzer, M.M., et al. (1997), "Characterization of Post-Transcriptionally Suppressed Transgene Expression that Confers Resistance to Tobacco Etch Virus Infection in Tobacco", The Plant Cell 9(8): 1411-1423			
135.	*Van der Krol, et al. (1990), "Inhibition of Flower Pigmentation by Antisense CHS Genes: Promoter an Minimal Sequence Requirements for the Antisense Effect", Plant Molecular Biology 14(4): 457-466			
136.	*Van der Krol, et al. (1990), "Flavonoid Genes in Petunia: Addition of a Limited Number of Gene Copie May Lead to a Suppression of Gene Expression", The Plant Cell 2(4): 291-299			
137.	*Vacheret, H. Nussaume, et al. (1997), "A Transciptionally Active State is Required for PostTranscriptional Silencing (Cosuppresion) of Nitrate Reductase Host Genes and Transgenes", The Plant Cell 9(8): 1495-1504			
138.	*Lisziewicz et al. (1993) "Inhibition of hexpression of a polymeric Tat activation Proceedings of the National Academy of	response RNA decoy as a strateg	y for gene therapy in AIDS".	
139.	*Sun et al. (1995) "Resistance to human immunodeficiency virus type 1 infection conferred by transduction of human peripheral blood lymphocytes with ribozyme, antisense, or polymeric transactivation response element constructs". Proceedings of the National Academy of Sciences of the United States of America 92: 7272-7276			
140.	*Gervaix et al. (1997) "Multigene antivi clades". Journal of Virology 71(4): 3048		immunodeficiency virus type 1	
141.	*Bevec et al. (1994) "Constitute express HIV-1 replication in human CD4' T lyn	ion of chimeric Neo-Rev response		
142.	*Sulleneger et al. (1990) "Overexpression immunodeficiency virus repljication".	on of TAR sequences rendered cel		
143.	*Dorer et al. (1994) "Expansion of trans in Drosophila". Cell 77: 993-1002		tin formation and gene silencing	
144.	*Lee et al. (1994) "Inhibition of human immunodeficiency virus type 1 in human T cells by a potent Rev response element decoy consisting of 13-nucleotide minimal Rev-binding domain". Journal of Virology 68(12): 8254-8264			
145.	*Chuah et al. (1994) "Inhibition of human immunodeficiency virus Type-1 by retroviral vectors expressing antisense-TAR". Human Gene Therapy 5: 1467-1475			
146.	*Sullenger et al. (1991) "Analysis of trans-acting response decoy RNA-mediated inhibition of human immunodeficiency virus type 1 transactivation". Journal of Virology 65(12): 6811-6816			
EXAMINER:		DATE CONSIDERED:		
	tial if citation considered, whether or not the citati not considered. Include a copy of this form with r		ine through the citation if not in	

Form PTO-1449		Docket Number 546322000303	Application Number 10/646,070	
INFORMATION DISCLOSURE CITATION		Applicants		
IN AN APPLICATION		Michael Wayne GRAHAM et al.		
(Use several sheets if necessary)		Filing Date August 22, 2003	Group Art Unit 1632	
·		Mailing Date July 27, 2004		
147.	*Napoli, Carolyn et al., "Introduction of a Chimeric Chalcone Synthase Gene into Petunia Results in Reversible Co-Suppression of Homologous Genes in trans" The Plant Cell 2: 279-289 1990			
148.	*Lindbo, John et al., "Induction of a Highly Specific Antiviral State in Transgenic Plants: Implications			
149.		for Regulation of Gene Expression and Virus Resistance", The Plant Cell, 5_: 1749-1759 (1993)		
149.	*Park, Y. et al., "Gene silencing mediated by promoter homology occurs at the level of transcription an results in meiotically heritable alterations in methylation and gene activity", The Plant Journal, 9: 183-			
	194 (1996)		,	
150.	*Waterhouse, Peter et al., "Virus resistance and gene silencing in plants can be induced by simultaneous			
	expression of sense and antisense RNA"			
151.	*Smith, Neil et al., "Total Silencing by in		<u> </u>	
152.	*Katsuki, Motoya et al., "Conversion of Normal Behavior to Shiverer by Myelin Basic Protein Antisense cDNA in Transgenic Mice", Science, 241: 593-595 (1988).			
153.	*Katsuki, Motoya et al., "Conversion of cDNA in Transgenic Mice", Science, 241	Normal Behavior to Shiverer by	Myelin Basic Protein Antisense	
155.			on of the Enidermal Growth	
. 155.	*Moroni, Maria Cristina et al., "EGF-R Antisense RNA Blocks Expression of the Epidermal Growth Factor Receptor and Suppresses the Transforming Phenotype of a Human Carcinoma Cell Line", The			
[Journal of Biological Chemist 267(5): 27		,	
155.	*Kook, Yoon Hoh et al., "The effect of antisense inhibition of urokinase receptor in human squamous cell			
	carcinoma on malignancy", The EMBO Journal. 1307): 3983-3991 (1994).			
156.				
	silencing is transmitted by grafting from silenced stocks to non-silenced scions", The EMBO Journal, 16: 4738-4745 (1997).			
157.	*Palauqui, Jean-Christophe et al., "Transgenes are dispensable for the RNA degradation step of			
157.	cosuppression", Plant Biology, 95: 9675-9680 1998			
158.	*Voinnet, Olivier et al., "Systemic Sprea		ne RNA Degradation in Plants Is	
	Initiated by Localized Introduction of E			
159.	*Fire, Andrew et al., "Potent and specifi		stranded RNA in	
	Caenorhabditis elegans' Nature 391:800			
160.	*Wianny, Florence et al., "Specific interference with gene function by double-stranded RNA in early mouse development", Nature Cell Biology, 2: 70-75 (2000)			
161.	*Tuschl, Thomas et al., "Targeted mRN		ed RNA in vitro", Genes &	
	Development, 13:3191-3197(1999).			
162.	*Hamilton, Andrew J. et al., "A Species	of Small Antisense RNA in Post	ranscriptional Gene Silencing in	
	Plants", Science, 286: 950-952 (1999).			
163.	*Zamore, Phillip et al., "RNAi: Double-		Dependent Cleavage of mRNA at	
	21 to 23 Nucleotide Intervals", Cell, Vol. 101: 25-33 (2000). *Hammond, Scott M. et al., "An RNA-directed nuclease mediates post-transcriptional gene silencing			
164.		<u>-</u>	ranscriptional gene silencing in	
165.	Drosophila cells", Nature, 404: 293-296 (2000). *Caplen, Natasha J. et al., "dsRNA-mediated gene silencing in cultured Drosphila cells: a tissue culture			
103.	model for the analysis of RNA interference", Gene 252: 95-105 (2000).			
		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
EXAMINER:		DATE CONSIDERED:		
EXAMINER: Init	tial if citation considered, whether or not the citation	on conforms with MPEP 609. Draw a	line through the citation if not in	
conformance and not considered. Include a copy of this form with next communication to applicant.				

Form PTO-1449		Docket Number 546322000303	Application Number 10/646,070
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicants Michael Wayne GRAHAM et al.	
		Filing Date August 22, 2003	Group Art Unit 1632
(Use several sheets if necessary)			Gloup Art Out 1032
		Mailing Date July 27, 2004	
166	*Cogoni, Carlo et al., "Gene silencing in	Neurospora crossa requires a pr	estain homologous to RNA
166.	dependent RNA of polymerase", Nature		otem nomologous to Kiva-
167.	*Cogni, Carlo et al., "Posaranscriptional Gene Silencing in Neurospora by a RecQ DNA Helicase", Science, 286: 2342-2344 (1999).		
168.	*Dalmay, Tamas et al., "An RNA-Dependent RNA Polymerase Gene in Arabidopsis Is Required for Posttranscri tional Gene Silencing Mediated by a Transgene but Not by a Vitas", Cell, 101: 543-553 (2000).		
169.	*Brigneti, Gianinna et al., "Viral pathogenicity determinants are suppressors of transgene silencing in Nicotiana benthamiana", The EMBO Journal, 17 22: 6739-6746 (1998)		
170.	*Tabara, Hiroaki et al., "The rde-I Gene, RNA Interference, and Transposon Silencing in C. elegans", Cell, 99: 123-132 (1999)		
171.	*Domeier, Mary Ellen et al., "A Link Between RNA Interference and Nonsense-Mediated Decay in Caenorhabditis elegans", Science, 289: 1928-1930 (2000)		
172.	*Smardon Anne et al., "EGO-1 is related to RNA-directed RNA polymerase an functions in germ-line development and RNA interference in C. elegans", Current Biology, 10(4): 169-178 (2000)		
173.	*Wassenegger, Michael et al., "Signalling in gene silencing", Elsevier Science, 4(6): 207-209 (1999)		
174.	*Ding, Shoo Wei, "RNA silencing", Current Opinion in Biotechnology, I: 152-156 (2000)		
175.	*Marx, Jean, "Interfering With Gene Expression", Science, 288: 1370-1372 (2000)		
176.	*Gura, Trisha, "A silence that speaks volumes", Nature, 404: 804-808 (2000)		
177.	*Sarah R. Grant, Dissecting the Mechanisms of Posttranscoptional Gene Silencing: Divide and Conquer, -Cell. Vol. 96, February 5, 1999, pp. 303-306.		
178.	*Qiudeng Que et al., Homology-Based Control of Gene Expression Patterns in Transgenic Petunia Flowers, Developmental Genetics, Vol. 22, 1998, pp. 100-109.		
179.	*Farhah F Assaad et al., Epigenetic repeat-induced gene silencing (RIGS) in Arabidopsis. Plant Molecular Biology. Vol, 22, 1993, pp. 1067-1085.		
180.	*Andrew J. Hamilton et al., A tansgene with repeated DNA causes high frequency, post-transedptional suppression of ACC-mddase gene expression in tomato, The Plant Journal, Vol. 15 (6), 1998, pp. 737-746.		
181.	*Maike Stam et al, The Silence of Genes		
182.	*Douglas R. Darer et al., Transgene Repeat Arrays Interact With Distant Heterochromalin and Cause Silencing in cis and trans, Genetics, Vol. 147, November 1997, pp. 1181-1190		
183.	*Douglas R. Dorer et al., Expansions of Transgene Repeats Cause Helerochromatin Formation and Gene Silencing in Drosophila, Cell, Vol. 77, July 1, 1994,pp.993-1002.		
184.	*Titia Sijen et al., RNA-Medicated Virus Resistance: Role of Repeated Transgenes and Delineation or Targeted Regions, The Plant Cell, Vol. 8, December 1996, p.2277-2294.		
185.	*Carolyn Napoli et al., Introduction of a Chimeric Chalcone Synthase Gene into Petunia Results in Reversible Co-Suppression of Homologous Genes in trans, The Plant Cell, Vol. 2, April 1990, pp. 279-289.		
186.	*John A. Lindbo et al., Induction of a Highly Specific Antiviral State in Transgenic Plants: Implications for Regulation of Gene Expression and Virus Resistance, The Plant Cell, Vol. 5, December 1993, pp. 1749-1759.		
EXAMINER:		DATE CONSIDERED:	
	tial if citation considered, whether or not the citation to considered. Include a copy of this form with n		line through the citation if not in

Form PTO-1449		Docket Number 546322000303	Application Number 10/646,070
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicants Michael Wayne GRAHAM et al.	
(Use several sheets if necessary)		Filing Date August 22, 2003	Group Art Unit 1632
•		Mailing Date July 27, 2004	
187.	*Peter M. Waterhouse et al., "Virus resistance and gene silencing in plants can be induced by simultaneous expression of sense and antisense RNA" Plant Biology, 95:13959-13964 (1998)		
188.	*Neil A. Smith et al., Total silencing by intronspheed hairpin RNAs. Nature, Vol. 407, September 21, 2000, pp. 319-320.		
189.	*Andrew Fire et al., Potent and specific genetic interference by double-stranded RNA in Caenorhabditis		
190.	elegans, Nature, Vol. 391, February 19, 1998, pp. 806-811. *Florence Wianny et al., Specific interference with gene function by double-stranded RNA in early mouse development, Nature Cell Biology, Vol. 2, February 2000, pp.70-75.		
191.	*Natasha J. Caplan et al., dsRNA-mediated gene silencing in cultured Drosophila cells: a tissue culture model for the analysis of RNA interference, Gene, Vol. 252, May 16, 2000, pp. 95-105.		
192.	*Selker Gene silencing: repeats that cou		
193.	*Fire RNA-triggered gene silencing. Tre	ends Genet. 1999 Sep; 15(9):358-6	3
194.	*Good et al. Expression of small, therap	eutic RNAs in human cell nuclei.	Gene Ther.1997Jan;4(1): 45-54.
195.	*McKenzie et al. Transplantation (1999) 827-874. Editor(s): Ginns, Leo C.; Cosimi, A. Benedict; Morris, Peter J. Blackwell Science, Inc.: Malden, Mass.		
196.	Agrawal, Sudhir et al. (1995) "Self-Stabilized Oligonucleotides as Novel Antisense Agents" pp 105-120.		
197.	Agrawal, Neema et al. (2003) "RNA Interference: Biology, Mechanism, and Applications" Microb. Mol. Biol. Rev. 67:657-685		
198.	Strauss, Evelyn (1999) "Candidate Gene Silencers' Found" Science Vol. 286, pg 886.		
199.	Bahramian, Mohammad B. and Zarbl, Helmut (1999) "Transcriptional and Posttranscriptional Silencing of Rodent α1(I) Collagen by a Homologous Transcriptionally Self-Silenced Transgene" Molecular and Cellular Biology, Vol 19, No. 1: 274-283.		
200.	Bhan, Purshotam et al. (1997) "2',5'-Linked Oligo-3'-deoxyribonucleoside Phosphorothiate Chimeras: Thermal Stability and Antisense Inhibition of Gene Expression" Nucleic Acids Research, Vol. 1, No. 16: 3310-3317.		
201.	Couzin, Jennifer (2002) "Small RNAs M	ake Big Splash" Science 298: 229	6-2297
202.	Czauderna, Frank et al. (2003) "Structural Variations and Stabiling Modifications of Synthetic siRNAs in Mammalian Cells" Nucleic Acids Research Vol. 31, No. 11: 1-12.		
203.	Elbashir, Sayda M. et al. (2001) "Functional Anatomy of siRNAs for mediating Efficient RNAi in Drosophila Melanogaster Embryo Lysate" The EMBO Journal, Vol. 20, No. 23: 6877-6888.		
204.	Elbashir, Sayda M. et al. (2002) "Analysis of Gene Function in Somatic Mammalian Cells Using Small Interfering RNAs" Methods 26: 199-213.		
205.	Grasby, Jane A. et al. "Purine Functional Groups in Essential Residues of the Hairpin Ribozyme Required for Catalytic Cleavage of RNA" Biochemistry 34: 4068-4076.		
206.	Griffey, Richard H. et al. (1996) "2" O-Aminopropyl Ribonucleotides: A Zwitterrionic Modification That Enhances The Exonuclease Resistance and Biological Activity of Antisense Oligonucleotides" J. Med. Chem 39: 5100-5109.		
EXAMINER:	- par 1844	DATE CONSIDERED:	
	ial if citation considered, whether or not the citation considered. Include a copy of this form with n		ine through the citation if not in

Form PTO-1449		Docket Number 546322000303	Application Number 10/646,070
INFORMATI	ON DISCLOSURE CITATION	Applicants	
IN AN APPLICATION		Michael Wayne GRAHAM et al.	
(Use several sheets if necessary)		Filing Date August 22, 2003	Group Art Unit 1632
		Mailing Date July 27, 2004	
207.	Gryaznov, Sergei M. and Letsinger, Robert L. (1993) "Template Controlled Coupling and Recombination of Oligonucleotide Blocks Containing Thiophosphoryl Groups" Nucleic Acids Research, Vol. 21, No. 6: 1403-1408.		
208.	Ha, Ilho et al. (1996) "A Bulged 1in-4/1in-14 RNA Duplex is Sufficient For Caenorhabditis Elegans 1in-14 Temporal Gradient Formation" Gene and Development 10: 3041-3050.		
209.	Hoke, Glenn D. et al. (1991) "Effects of		isense Oligonucleotide Stability.
209.	Hybridization and Antiviral Efficacy Ve		• • • • • • • • • • • • • • • • • • • •
	Vol. 19, No. 20: 5743-5748.		,
210.	Kennerdell, Jason R. and Carthew, Rich	pard W (1998) "Use of dsRNA-M	lediated Cenetic Interference to
210.	Demonstrate that Frizzled and Frizzled	2 Act in the Wingless Pathway" (Cell, Vol. 95: 1017-1026
211.	Kitabwalla, Moiz and Ruprecht Ruth M. (2002) "RNA Interference - A New Weapon Against HIV and Beyond" N Engl J Med, Vol 347, No. 17: 1364-1367.		
212.	Kreutzer R. et al. "Specific Inhibition of	Viral Gene Expression by Doubl	e-Stranded RNA in Vitro" Fall
	Meeting S169.		
213.	Kumar Madhur and Carmichael, Gordo		-
	in Cells of Higher Eukaryotes" Microbio	**	
214.	Borecky, L. et al. (1981-1982) "Therapeutic Use of Double-Stranded RNAs in Man" Tex Rep Biol Med 14: 575-581.		
215.	Li, Y.X. et al. (1999) "Double-Stranded RNA Injections Produces Null Phenotype in Zebrafish" Developmental Biology Vol. 210: 238 at 346		
216.	Lin, Rueyling and Avery, Leon (1999) "Policing Rogue Genes" Nature Vol. 402: 128-129.		
217.	Lipinski, Christopher A. et al. (1997) "E	xperimental and Computational	Approaches to Estimate
217.	Solubility and Permeability in Drug Disc Reviews 23: 3-25.		
218.	Majumdar, Alokes et al. (1998) "Target	ed Gene Knockout Mediated by T	Criple Helix Forming
210.	Oligonucleotides" Nature Genetics Vol.	<u> </u>	
219.	McManus, Michael T. and Sharp, Philli		ammals By Small Interfering
	RNAs" Reviews, Vol. 3: 737-747.		
220.	Y. X. Ma, Michael et al. (1993) "Design and Approach" Biochemistry 32: 1751-1758.	-	xes via a Synthetic Linker
221.	Milhaud, Pierre G. et al. (1991) "Free ar		
	Interferon, Interleukin-6, and Cellular		
222.	Montgomery, Mary K. and Fire, Andrew		
	Specific Genetic Silencing and Co-Supp		
223.	Montgomery, Mary K. et al. (1998) "RN	5	
	Interference in Caenorhabditis Elegans'		
224. Moss, Eric G. et al. (1997) "The Cold Shoo			trols Development Timing in C.
	Elegans and is Regulated by the lin-4 RNA" Cell, Vol. 88: 637-646.		
225.	Nielsen, Paul et al. (1997) "A Novel Class of Conformationally Restricted Oligonucleotide Analogues: Synthesis of 2',3'-Bridged Monomers and RNA-Selective Hybridisation" Chem. Commun., pp 825-826.		
. <u>I</u>	Ojimiosis vi 2 ,5 - Di iugeu monomers and rem-sciective rigoriusation Chem. Commun., pp 025-020.		
EXAMINER:	······	DATE CONSIDERED:	
	ial if citation considered, whether or not the citation		line through the citation if not in
contormance and n	ot considered. Include a copy of this form with n	ext communication to applicant.	

Form PTO-1449		Docket Number 546322000303	Application Number 10/646,070
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicants Michael Wayne GRAHAM et al.	
(Use several sheets if necessary)		Filing Date August 22, 2003	Group Art Unit 1632
		Mailing Date July 27, 2004	
226.	Nikiforov, Theo T. and Connolly, Bernard A. (1992) "Oligodeoxynucleotides Containing 4-thiothymidine and 6-thiodeoxyguanosine as affinity labels for the Eco RV Restriction Endonuclease and Modification Methylase" Nucleic Acids Research, Vol. 20, No. 6: 1209-1214.		
227.	Doench, John G. et al. (2003) "siRNA Ca	an Function as miRNAs" Genes a	and Development 17:438-442.
228.	Sinha, Nanda D. (1997). "Large-Scale Synthesis: Approaches to Large-Scale Synthesis of Oligodeoxynecleotides and their Analog" Antisense From Technology to Therapy Lab Manual and Textbook, Vol. 6: pp 30-58.		
229.	Skripkin, Eugene et al. (1996) "Psoralen Crosslinking Between Human Immunodeficiency Virus Type 1 RNA and Primer tRNA ₃ ^{Lys} " Nucleic Acids Research, Vol. 24, No. 3: 509-514.		
230.	Ngo, Huan et al. (1998) "Double-Stranded RNA Induces mRNA Degradation in Trypanosoma Brucei" Proc. Natl. Acad. Sci. Vol. 95: 14687-14692.		
231.	Paddison, Patrick J. et al. (2002) "Short Hairpin RNAs (shRNAs) Induce Sequence-Specific Silencing in Mammalian Cells" Genes and Development 16: 948-958.		
232.	Pegram, Mark D. et al (1998) "Phase II study of Receptor-Enhanced Chemosensitivity Using Recombinant Humanized Anti-p185HER2/meu Monoclonal Antibody Plus Cisplatin in Patients With HER2/Neu-Overexpressing Metastatic Breast Cancer Refractory to Chemotherapy Treatment" Journal of Clinical Oncology, Vol. 16, No. 8: 2659-2671.		
233.	Braich, Ravinderjit and Damha, Masad J. (1997) "Regiospecific Solid-Phase Synthesis of Branched Oligonucleotides. Effect of Vicinal 2′,5′- (or 2′,3′-) and 3′,5′-Phosphodiester Linkages on the Formation of Hairpin DNA" Bioconjugate Chem, 8: 370-377.		
234.	Regalado, A. (2002, August). "Turning Off Genes Sheds New Light On How They Work" The Wall Street Journal, 4 pages.		
235.	Sharp, Phillip (1999) "RNAi and Double-Stranded RNA" Genes and Development 13(2): 139-141.		
236.	Shi, Yang and Mello, Craig (1998) "A CBP/p300 Homolog Specifies Multiple Differentiation Pathways in Caenorhabditis Elegans" Genes and Development (12)7: 943.		
237.	Timmons, Lisa and Fire, Andrew (1998) "Specific Interference by Ingested dsRNA" Nature, Vol. 395: 854		
238.	Uhlmann, Eugen and Peyman, Anusch (1990) "Antisense Oligonucleotides: A New Therapeutic Principle" Chemical Reviews, Vol. 9, No. 4: 544-584.		
239.	Wess, Ludger and Haan, Keith (2003) "	Early Days for RNAi" BioCentur	y, Vol. 11, No. 12: A1-23.
240.	Schwartz, Dianne S. et al. (2002) "Evidence that siRNAs Function as Guides, Not Primers in the Drosophila and Human RNAi Pathways" Molecular Cell, Vol. 10: 537-548.		
241.	Yamamoto, Rika et al. (1997) "Inhibition of Transcription by the TAR RNA of HIV-1 in a Nuclear Extract of HeLa Cells" Nucleic Acids Research, Vol. 25, No. 17: 3445-3450		
242.	Kowolik, Claudia M. and Jee, Jiing-Kuan (2002) "Preferential Transduction of Human Hepatocytes with Lentiviral Vectors Pseudotyped By Sendai Virus F Protein" Molecular Therapy, Vol. 5, No. 6: 762-769		
243.	Yam, Priscilla Y. et al. (2002) "Design of HIV Vectors for Efficient Gene Delivery into Human Hematopoietic Cells" Molecular Therapy, Vol. 5, No. 4: 479-484		
244.	Peng, Hairong et al. (2001) "Development of an MFG-Based Retroviral Vector System for Secretion of High Levels of Functionally Active Human BMP4" Molecular Therapy, Vol. 4, No. 2: 95-104		
EXAMINER:		DATE CONSIDERED:	
EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.			

Form PTO-1449		Docket Number 546322000303	Application Number 10/646,070
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicants Michael Wayne GRAHAM et al.	
(Use several sheets if necessary)		Filing Date August 22, 2003	Group Art Unit 1632
		Mailing Date July 27, 2004	
245.	Yee, Jiing-Kuan and Zaia, John A. (2001) "Prospects for Gene Therapy Using HIV-Based Vectors" Somatic Cell and Molecular Genetics, Vol. 26, Nos. 1/6: 159-173		
246.	Kowolik, Claudia M. et al. (2001) "Locus Control Region of the Human CD2 Gene in a Lentivirus Vector Confers Position-Independent Transgene Expression" Journal of Virology, Vol. 75, No. 10: 4641-4648		
247.	Schmidt, Frank R. (2004) "RNA Interfe		
248.	Schmidt, F. R. et al. (1983) "Cycloheximide Induction of Aflatoxin Synthesis in a Nontoxigenic Strain of Aspergillus Flavus" Bio/Technology 1: 794-795		
249.	Schmidt, Frank R. et al. (1986) "Viral Influences on Aflatoxin Formation by Aspergillus Flavus" Appl Microbiol. Biotechnol. 24: 248-252.		
250.	Hannon, Gregory J. (2002) "RNA Interf	ference" Nature, Vol. 418: 244-25	i1
251.	Goff, Deborah J. e al. (1997) "Analysis of Hoxd-13 and Hoxd-11 Misexpression in Chick Limb Buds Reveals that Hox Genes Affect Both Bone Condensation and Growth" <i>Development</i> 124: 627-636		
252.	Boldin, Mark P. et al. (1996) "Involvement of MACH, a Novel MORT1/FADD-Interacting Protease, in Fas/APO-1- and TNF Receptor-Induced Cell Death" Cell 85: 803-815.		
253.	Giordano, E. et al. (2000) "RNAi Triggered By Symmetrically Transcribed Transgenes in Drosophila Melanogaster" Genetics, 160:637-648		
254.	Kennerdell, J. R. et al. (2000) "Heritage Gene Silencing in Drosophila Using Double-Stranded RNA" Nature Biotechnology, 18:896-898.		
255.	Carthew, Richard W. (2001) "Gene Silencing By Double-Stranded RNA" Curr. Op. Cell. Biol. 13: 244-248		
256.	Flavell, R. B. (1994) "Inactivation of Gene Expression in Plants as a Consequence of Specific Sequence Duplication" Proc. Natl. Acad. Sci. 99:3490-3496.		
257.	Jorgensen, Richard A. et al. (1999) "Do Unintended Antisense Transcripts Contribute To Sense		
	Cosuppression in Plants' TIG 15:11-12.		
258.	Klink, Vincent P. et al. (2000) The Efficacy of RNAi in the Study of the Plant Cytoskeleton" J. Plant Growth Reg. 19: 371-384.		
259.	Lisziewicz, Julianna et al. (1991) "Tat-Regulated Production of Multimerized TAR RNA Inhibits HIV-1 Gene Expression" New Biologist 3:82-89.		
260.	Metzlaffm, M. et al. (1997) "RNA-Mediated RNA Degradation and Chalcone Synthase A Silencing in Petunia" Cell 88:845-854.		
261.	Plasterk, Ronald HA. et al. (2000) "The Silence of the Genes" Curr. Op. Gen. Dev. 10:562-567.		
262.	Que, Qiudeng et al. (1997) "The Frequency and Degree of Cosuppression by Sense Chalcone Synthase Transgenes Are Dependent on Transgene Promoter Strength and Are Reduced by Premature Nonsense Codons in the Transgene Coding Sequence" Plant Cell 9: 1357-1368.		
263.	Sarver, Nava et al. (1990) "Ribozymes as Potential Anti-HIV-1 Therapeutics Agents" Science 247:1222-1225.		
264.	Schaller, Hubert (2003) "The Role of Sterols in Plant Growth and Development" Prog. Lipid Res. 42:163-175.		
265.	Steinecke, Peter et al. (1992) "Expression of a Chimeric Ribozyme Gene Results in Endonucleolytic Cleavage of Target mRNA and a Concomitant Reduction of Gene Expression in vivo" Nucleic Acids Res. 23:2259-2268.		
EXAMINER:	1	DATE CONSIDERED:	
	ial if citation considered, whether or not the citation considered. Include a copy of this form with n		ine through the citation if not in

	· · · · · · · · · · · · · · · · · · ·		
Form PTO-1449	Docket Number 546322000303	Application Number 10/646,070	
INFORMATION DISCLOSURE CITATION	Applicants		
IN AN APPLICATION	Michael Wayne GRAHAM et al.		
(Use several sheets if necessary)	Filing Date August 22, 2003	Group Art Unit 1632	
	Mailing Date July 27, 2004		
266. Sullenger, Bruce et al. (1990) "Expression		-	
3T3 Cells Highly Resistant to Moloney N	Murine Leukemia Virus Replicat	ion" Mol. Cell. Biol. 10:6512-	
6523.			
267. Sullenger, Bruce A. et al. (1993) "Tether	ring Ribozymes to a Retroviral P	ackaging Signal for Destruction	
of Viral RNA" Science 262:1566-1569.			
268. Tijsterman, Marcel et al. (2002) "The G	Tijsterman, Marcel et al. (2002) "The Genetics of RNA Silencing" Ann. Rev. Genet. 36:489-519.		
	Zhao, Jack J. et al. (1993) "Generating Loss-of-Function Phenotype of the Fushi Tarazu Gene with a		
Targeted Ribozyme in Drosophila" Natu	· · · · · · · · · · · · · · · · · · ·		
	International Search Report mailed on November 14, 2002, for PCT patent application no.		
PCT/AU02/01326 filed September 27, 20	002, 4 pages.		
271. International Search Report mailed on I	International Search Report mailed on May 10, 2001, for PCT patent application no. PCT/AU01/00297		
filed March 16, 2001, 2 pages.	- ' , -		
272. Written Opinion mailed on April 17, 200	Written Opinion mailed on April 17, 2004, for PCT application no PCT/AU03/01177 filed September 9,		
2003, 7 pages.			
1 1/· K0			

EXAMINER:		DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.